

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

TITLE: COMPOUNDS THAT INHIBIT CASPASE ACTIVITY FOR  
TREATING GLAUCOMA

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COMPOUNDS THAT INHIBIT CASPASE ACTIVITY FOR TREATING  
GLAUCOMA

Cross Reference to Related Application

This application is a continuation of U.S.S.N.  
5 Serial No. 09/052,826, filed March 31, 1998 which in turn  
claimed benefit from provisional application Serial No.  
60/042,144, filed March 31, 1997, each of which is  
incorporated by reference. WO 98/43621 is also hereby  
incorporated by reference.

10 Background of the Invention

This application is in the general field of treating  
diseases characterized by apoptosis.

Apoptosis is a programmed cell death which occurs  
not only in natural development but also in disorders of  
15 many tissues incident to certain insults, such as growth  
factor deprivation and exposure to reactive oxygen species.  
Apoptosis is implicated, for example in chronic  
neurodegenerative disorders such as Huntington's disease,  
amyotrophic lateral sclerosis, Alzheimer's disease, and  
20 AIDS dementia, as well as in the penumbra of acute focal  
cerebral infarcts and after spinal chord injury or other  
forms of central nervous system trauma. Schwartz and  
Milligan, *Trends in Neurosci.* 19:555-562 (1996).

The family of cysteine proteases related to  
25 interleukin 1 $\beta$ -converting enzyme (ICE) has been generally  
found to be essential to apoptosis. Patel et al. *FASEB. J.*  
10:587-797 (1996); Schwartz and Milligan, *Trends in*  
*Neurosci.* 19:555-562 (1996); Troy et al., *Proc. Nat'l*  
*Acad. Sci. (USA)* 93:5635-5640 (1996). The term caspase is  
30 now generally used to designate this ICE family of enzymes.  
Alnemri et al. *Cell* 87:171 (1996). A conserved cysteine-  
containing sequence characteristic of caspases is essential  
for their activity. Patel et al. *FASEB. J.* 10:587-797  
(1996). For all known caspase enzymes, this sequence is

QACRG (SEQ ID NO:1). Patel et al. FASEB. J. 10:587-797  
(1996). An apoptotic-like neuronal cell death process  
induced by growth factor deprivation or reactive oxygen  
species exposure of a neuronal-like cell line (PC12 cells)  
5 can be ameliorated by a pseudo-caspase enzyme, a fragment  
of the natural substrate IQACRG (SEQ ID NO:2) which  
contains that critical sequence and is believed to complex  
with and thus protect the natural substrates from  
degradation by caspases. Troy et al., Proc. Nat'l. Acad.  
10 Sci. (USA) 93:5635-5640 (1996).

#### Summary of the Invention

S-nitrosylation (reaction of nitric oxide [NO]  
species with critical cysteine sulfhydryl groups of a  
15 caspase [RS] to form RS-NO) inhibits caspase activity and  
thereby ameliorates apoptosis. Such inhibition takes place  
throughout the body, in both neuronal and non-neuronal  
tissue and in ophthalmological and non-ophthalmological  
tissues. Accordingly, one aspect of the invention features  
20 methods of treating diseases characterized by apoptosis, by  
administering an S-nitrosylating compound to the patient in  
an amount effective to reduce caspase activity.

Another aspect of the invention features the use of  
caspase pseudo-enzymes to treat all apoptotic indications,  
25 neurological, ophthalmological, and others. Specifically,  
apoptotic-like neuronal cell death of cerebrocortical  
neurons induced by mild excitotoxic injury [see, Bonfoco  
et al. Proc. Nat'l Acad. Sci. (USA) 92:7162-7166 (1995)]  
can be ameliorated by caspase substrate binding agent --  
30 peptides containing the sequence QACRG (SEQ ID NO:1),  
particularly those containing IQACRG (SEQ ID NO:2) and most  
particularly, IQACRG (SEQ ID NO:2) itself. These peptides  
may be linked to an antennapedia sequence (see Troy et al.,

cited above, which is hereby incorporated by reference) or they may be incorporated into liposomes to enhance transport across the blood-brain barrier and/or entry into neurons.

5           Finally the two approaches (nitrosylating therapies and caspase substrate binding agent) may be combined to treat apoptotic indications.

          Other features and advantages will be apparent from the following description of the Preferred Embodiments and  
10 from the claims.

#### Brief Description of the Drawings

Fig. 1 is a bar graph depicting inhibition of caspase-induced opoptosis by endogenous NO (See Example 1).

15           Fig. 2 is a bar graph depicting the results of an experiment (Example 2) in which V-ICE<sub>inh</sub> decreases apoptosis induced by N-methyl-D-aspartate (NMDA).

#### Description of the Preferred Embodiments

20           Among the non-neuronal medical indications that can be treated according to the invention are: autoimmune diseases, including diseases of lymphocytes, systemic lupus erythematosus (SLE), synovial cells of rheumatoid arthritis (RA), fibroblasts (scleroderma), defective hematopoiesis,  
25 atherosclerosis, gastrointestinal diseases associated with cell death, including hepatobiliary disease, cell-mediated cytotoxicity, drug and chemical toxicity, carcinogenesis, viral disease, T-cell depletion associated with AIDS, oxidative stress, glomerulonephritis, cystic renal disease,  
30 renal tubular injury, atherosclerosis, myocardial ischemia or infarction, diabetic nephropathies, Chagas' disease polycystic kidney disease, glomerulonephritis, hypocellular end-stage kidney disease, kidney disease associated with

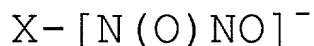
diabetes mellitus, Sjögren's syndrome, fulminant hepatitis (hepatitis B and C), red cell pathology; polycythemia, thalassemia, deficiencies in folate, vitamin B12, iron, glucose-6-phosphate dehydrogenase abnormalities, bone marrow failure, myelodysplasia, and chronic inflammatory disease.

Neuronal medical indications include Parkinson's disease, Alzheimer's disease, Amyotrophic lateral sclerosis, autoimmune inflammation of the nervous system, multiple sclerosis, demyelinating diseases, autoimmune encephalomyelitis, status epilepticus and other seizure disorders, neurological mechanical trauma, hypoxia hypoglycemia, and ischemia, optic neuropathies, glaucoma, AIDS dementia, stroke, neuropathic pain, Huntington's disease, metabolic disorders (including homocyst(e)inemia) Tourette's syndrome, and withdrawal from drug addiction, drug tolerance or drug dependency.

The S-nitrosylating therapeutics that can be used to effect treatment according to the invention include any compound which produces a sufficient amount of NO (most probably a related redox species such as an NO<sup>+</sup> equivalent or NO<sup>-</sup> donor) upon administration to a mammal to decrease apoptotic damage or injury. For convenience, I have also used the less precise term "NO-generating compound" to include compounds that produce the above described NO-related redox species (e.g., RS-NO, an NO<sup>+</sup> equivalent, or NO<sup>-</sup>) or a physiologically acceptable salt thereof.

Verification that a particular compound nitrosylates a caspase can be accomplished by the experiments provided below.

The two preferred compounds (nitroglycerin and sodium nitroprusside) provide the advantage of a proven record of safe human administration (i.e., for treatment for cardiovascular disorders). Other nitroso-compounds that can be used in the method of the invention include: isosorbide dinitrate (isordil); S-nitroso captopril (SNOCAP); Serum albumin coupled to nitric oxide ("SA-NO"); Cathepsin coupled to nitric oxide (cathepsin - NO); tissue plasminogen activator coupled to NO (tPA-NO); SIN-1 (or molsidomine) cation-nitrosyl complexes, including  $\text{Fe}^{2+}$ -nitrosyl complexes; Nicorandil; S-nitrosoglutathione; NO coupled to an adamantane derivative, such as memantine (see U.S. 5,614,650 hereby incorporated by reference); S-nitrosothiols including S-nitrosocysteine; quinones, including pyrroloquinoline quinone (PQQ), ester derivatives of PQQ, or ubiquinone; sydnonimines or NONOates having the formula



where X is any nucleophile including an amine; and agents which generate an oxidizing cascade similar to that generated by NO such as  $\alpha$ -lipoic acid (thioctic acid and its enantiomers); dihydrolipoate; glutathione; ascorbate; or vitamin E. Alternatively, the NO donor can be a nitroxyl ( $\text{NO}^-$ ) generator such as Piloty's acid, Angeli's salt (Oxi-NO), or sulfi-NO. See generally the list of NO compounds described in Chapter 7 of Feelisch and Stamler, Methods in Nitric Oxide Research, Wiley and Sons, Chichester, UK, (1996), pp 71-115, which is hereby incorporated by reference. Without wishing to be bound to a specific theory, the NO group in various redox forms can be transferred or react with the critical cysteine at the active site of caspases to decrease enzymatic function and thus provide protection against apoptosis.

Any of the above described nitroso-compounds may be combined with other redox compounds that facilitate production and maintenance of NO. For example, direct NO-generators can be combined with pyroloquinoline quinone (PQQ) (see U.S. Patent 5,091,391), or PQQ's derivative esters, or other quinones such as ubiquinone.

The ability of NO to be transported to and cross cell membranes facilitates therapies according to the invention.

10 My earlier U.S. patent U.S. 5,455,279 discloses that it is possible to build tolerance to undesired cardiovascular side effects of NO compounds (e.g., hypotension), without losing the desired protective effect. Accordingly, nitroso compounds capable of protecting  
15 against apoptosis can be administered continuously over an extended period with gradually escalating dosage, beginning at a dosage level which does not substantially reduce the patient's blood pressure, and, later, increasing gradually to higher dosage levels desirable for achieving the anti-  
20 apoptotic effect. The later dosage level is high enough to substantially reduce a naive patient's blood pressure, but, due to the tolerance that has been achieved in the patient, the compound's blood-pressure lowering effect is reduced to tolerable levels.

25 An alternative way to offset the hypotensive effects of NO donors such as nitroglycerin is to co-administer with the NO-donating compounds, agents such as phenylephrine, dopamine, or yohimbine. See, e.g., Ma et al. *Cardiovasc. Pharmacol.* 20: 826-836 (1992). These agents may be given  
30 parenterally (e.g. IV) or orally depending on the drug.

Nitroglycerin may be administered by transdermal patch as described in detail in my U.S. patent 5,455,279, referenced above. Alternatively, a long-lasting nitrate

formulation, such as isosorbide dinitrate SR tablets which are usually administered every 8-12 hours, are administered more frequently (e.g., every 4 hours) to induce cardiovascular tolerance but preserve their effect on nitrosylation of caspases. It is also useful to administer superoxide dismutase (SOD), catalase, or both, to limit toxicity by decreasing the formation of peroxynitrite from the reaction of NO<sup>•</sup> with superoxide anion (O<sub>2</sub><sup>•-</sup>).

The compound may be included in a pharmaceutical preparation, using a pharmaceutical carrier (e.g., physiological saline); the exact formulation of the therapeutic mixture depends upon the route of administration. Preferably, the compound is administered orally or intravenously, but it may also be administered sublingually, by nasal spray, by transdermal patch, subcutaneously, intraventricularly, intravitreally, or by ointment. The preferred compounds, nitroglycerin or their derivatives (including all those preparations commercially available, e.g., those listed in the *Physician's Desk Reference* (1997) under coronary vasodilators or under nitroglycerin or nitroglycerin intravenous and including isosorbide mononitrate, isosorbide dinitrate, nitroglycerin sublingual, Minitran, NT-1, Niotrocor, Nitroderm, Nitrodisc, Nitro-dur, Nitro-Dur II, Nitrofilm, Nitrogard, Nitroglin, Nitropen, Tridil, and 6-chloro-2-pyridylmethyl nitrate) are administered at 0.01 mg - 60 gm/day, in divided doses. Sodium nitroprusside -- Na<sub>2</sub>[Fe(CN)<sub>5</sub>NO]·2H<sub>2</sub>O (from Elkins-Sinn, Inc., Cherry Hill NJ), Nipride (from Roche, Nutley, NJ), or other preparations -- are administered intravenously at 0.5-10µg/min.

Compounds determined to be effective protective agents by the assays described herein are administered as above at a dosage suitable to reduce cellular damage.



Generally, such compounds are administered in dosages ranging from 0.01 mg - 60 gm/day, more preferably in dosage of 0.1-5 mg/day.

Those skilled in the art will understand that there are other factors which aid in determining optimum dosage. For example, for NO-conjugated drugs, the dosage used for the unconjugated drug (e.g. tPA a dosage of 0.35-1.08 mg/kg and generally  $\leq 0.9$  mg/kg) is predictive of useful NO-conjugate dosage. Dosages may be divided. It is desirable to maintain levels of NO or related redox species in the brain of 1 nM to 500  $\mu$ M. Treatment may be repeated as necessary.

Regarding neuronal therapies, polyethylene glycol (PEG) is used to enhance absorption into the central nervous system (CNS) and efficacy of SOD and/or catalase. An SOD mimic, the protein-bound polysaccharide of Coriolus versicolor QUEL, termed "PS-K", may also be effective by parenteral or oral routes of administration, especially with PEG to enhance CNS absorption, and such mimics may be substituted for SOD in this aspect of the invention. See Kariya et al., Mol. Biother. 4:40-46 (1992); and Liu et al., (1989) Am. J. Physiol. 256:589-593."

#### Examples

##### Example 1

We have shown that S-nitrosylation of caspases [e.g., CPP32 (caspase -3, Alnemri et al.) and ICE (caspase-1)] inhibit their ability to cleave the substrate PARP [poly(ADP-ribose)polymerase]. Fluorogenic assays of caspase activity in neuronal and other cellular cultures revealed that S-nitrosylation by either exogenous or endogenous NO species inhibited enzyme activity and therefore prevented apoptosis.

Nitrosylation of the critical cysteine in caspases (which is present in the peptide ICARG) (SEQ ID NO:3) can be verified by the Saville reaction, well known to those skilled the art. Feelish and Stamler, cited above, Ch. 36, 5 p. 527.

In cell toxicity experiments we demonstrate inhibition of caspase-induced apoptosis by endogenous NO in HEK-293-nNOS cells. HEK-293 cells [Bredt et al., *Nature* 351:714-719 (199 )} overexpressing nNOS were transiently 10 transfected with mICE-lacZ (containing the caspase-1 construct [Miura et al., *Cell* 75:653-660 (1993)] or control placZ using the calcium phosphate precipitation method. Following transfection, cells were incubated in absence (0 $\mu$ M) or presence of 6 $\mu$ M 4-Br-A23187 for 48 h. Cells were 15 then permeabilized, fixed, and stained with propidium iodide. Apoptotic nuclei were counted in  $\geq 12$  fields and results expressed as a percentage of total nuclei. The results are shown in Fig. 1. Values are the mean  $\pm$  SEM for  $n \geq 3$  from at least two experiments. A Fisher's protected 20 least significance difference post-hoc test indicated a highly significant decrease in apoptosis of HEK-293-nNOS cells after caspase-1 transfection and 4-Br-A23187 exposure to increase Ca<sup>2+</sup> and thus activate the nNOS to produce NO ( $P \leq 0.007$ ).

## 25 Example 2

Fig. 2 depicts the results of one specific experiment in which the pseudo-caspase enzyme IQACRG ("ICE<sub>inh</sub>") demonstrably decreases the apoptosis induced by the excitotoxin N-methyl-D-aspartate (NMDA) plus glycine 30 (an NMDA receptor co-agonist.) Note that ICE<sub>inh</sub>'s entry into cells is facilitated by coupling the antennapedia peptide (a signal sequence allowing translocation across cell membranes, the conjugate being termed V-ICE<sub>inh</sub>). Note

also that the NMDA receptor is a subtype of glutamate receptor, which, when overexcited, causes neuronal damage. The reduction in NMDA-induced (300  $\mu$ M NMDA/5  $\mu$ M glycine) neuronal apoptosis effected by 200 nM VICE is significant.

5           These findings support my conclusion that S-nitrosylation of caspase inhibits apoptosis. The pseudo-enzyme IQACRG (SEQ ID NO:2) containing the caspase active site also prevents apoptosis. The combination of the two is synergistic.

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